



Borrower Paid Compensation Exception Form

Under applicable laws and regulations, compensation to the Broker cannot be changed unless specific circumstances are met. If there are unforeseen changes or circumstances, such as changes in settlement costs over an estimated settlement cost disclosed to the Borrower pursuant to section 5(c) of RESPA (or omitted from that disclosure), Rockstone Wholesale (Rockstone) may grant an exception and permit the Broker to receive a Borrower-Paid Compensation reduction.

The reduction must be to the benefit of the Borrower(s).

This form must be signed and completed before any change will be considered and allowed.

Comments: Explain reason for exception and why the change was unforeseen	
Disclosed Broker Compensation (\$)	
Requested Broker Compensation Change (\$)	
Borrower Name	
Co-Borrower Name	
Loan Number	
Broker Name	
Company Name	

Borrower Signature

Date

Co-borrower Signature

Date