

Loan Number: Name of Borrower: Date:

Property Street Address:

Property City: Property State: Property Zip Code:

Conventional
 Government
 Jumbo
 Portfolio
 Non-Conforming Portfolio
 USDA

▶ **MINIMUM REQUIREMENTS TO SEND OUT THE INITIAL LE** ◀

Loan Estimate Type

Lender Disclosed LE
 Broker Disclosed LE

Application Date:
 Borrower Paid _____ % or Flat Amount \$ _____
 Lender Paid

▶ **For All Disclosed Loan Estimates:**

Initial 1003 - signed and dated by the MLO – a face to face interview requires both Borrower and Interviewer signatures on the same day. How the application was taken, race, ethnicity, sex information is REQUIRED at the time of submission.

Borrower(s) Name
 Loan Amount

Subject Property Address
 SSN

Income
 GMI Addendum

Appraisal/Market Value

Settlement Service Provider List (SSPL) – with complete Company Name, Address, Phone Number and Contact Person

Credit Report

Use Rockstone Credit report
 OR
 Use Broker Credit Report – must include AUS Transferred to AmWest Funding Corp.

Dated within 30 days of Application (FHA Streamline or VA IRRRL)

Must include AUS Transferred to AmWest Funding Corp.

Borrower Authorization

Fee Sheet

Rockstone Fee Sheet
 Broker Fee Sheet
 Escrow Fee Sheet

Borrowers Authorization to Receive Documents Electronically (BARDE) or Email Consent Form
 Cert for Business Purpose Loan (AIA Loans Only)

▶ **ADDITIONAL Requirements for Broker Disclosed LE:**

Intent to Proceed – Signed and Dated by the Borrower(s)

Broker Disclosed LE – DOES NOT have to be Signed and Dated by the Borrower(s)

Rockstone TRID Compliance Cert. / Borrower(s) Receipt of Loan Estimate (LE) and the intent to Proceed
Must be fully completed, signed and dated by the Broker or Loan Officer